

Unreal Engine Substrate: Authoring Materials that matter

Notes have been added to the slides deck because this felt needed since the presentation had to go through a lot of details that may not have been restituted 100% when we did the presentation live. And this is also better for everyone who missed the live presentation.



The current material model in UE is based around the notion of Shading Models. A shading model target a specific set of visual features, proper to a given appearance. For instance, we have a shading mode for general dielectric/conductor, for ClearCoat, for Subsurface scattering, for Cloth, ...

Each shading model is targeting a specific range among the appearance spectrum.

Most of the existing shading model uses state of the art technique / implementation, yet remain performance, since UE is targeting high-performance rendering for game. For instance Fortnite runs up to 120Hz.

In addition all these shading models remain visually consistent across our rendering paths, i.e. rasterization path, ray-tracing effect likeLumen, or our path tracer



However, having such specialized shading models, acting in silo, prevents artists from creativity freedom.

For instance what if an artist wants to blend a SSS surface with a metallic surface.

With a shading models approach, since we can represent only one shading model per pixel, the blending would lead to harsh transition/discontinuities.

With proper blending of appearance, the transition becomes smoother, as expected.

Fixed & Specialized Shading models What if artists need a **sub-surface** material with a **coated** layer?



Another example, what if an artist would like to have a subsurface based material, coated with a plastic armor or some sweat.

Again having a shading model approach, it wouldn't be possible out of the box as it would requires at the same time some feature of our SSS shading model, and some feature of our Coat shading model.

Fixed & Specialized Shading models What if artists need a **two-threaded silk cloth** wrapped in **plastic?**



Another more advanced example. Imagine an artist wants to build an advanced cloth material. The material would be made of two sets of silky threads (i.e. with anisotropic highlight), perpendicular to each other to mimic a woven pattern. And the cloth would be covered by a plastic sheet.

Yet again, it wouldn't be possible out of the box with a shading model approach.

Fixed & Specialized Shading models What if artists want to overcome metalness limitation?



Here a limitation of our existing shading models, more tight with our implementation.

UE default shading model uses the notion of metalness to make the distinction between conductor and metallic. When transiting between the two types, an undesired halo can appear. This is a known limitation in PBR, but something we couldn't address before dropping the notion of metalness in Substrate.

Requires new shading models

- Combinatorial explosion
- Cost engineer time to add new shading models
- Increase shading cost
- Features gated by project settings (e.g., clear coat dual normal, anisotropy, ...)
- Restricted input parameters (GBuffer memory constraint)

Cannot import correctly some material formats (BSDF soup such as MatX or MDL)

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All these examples highlight the fact that, if an artists want to get certain type of advanced appearance, it requires a modification of existing shading models, or even an entirely new shading model.

Adding new shading model each time for such a case would lead to a combinatorial explosion of shading models.

Adding new shading models means engineering cost to implement and ensure everything work as intended.

It also adds, in the long run, an overall increase of shading cost. As more complex shading models are implemented, it is likely that branching & register pressure will increase, dropping performance even for our simple/most used shading models.

To combat these performance regression, one can leverage project settings to only enable these advanced shading models on projects needing them. But that create a maintenance/testing issue.

Furthermore, UE is based on a deferred architecture, which make the number of storable inputs limited.

With all these restrictions, it feels hard to be able to import & support advanced

materials

Substrate requirements

- Framework for **composing** appearance create, mix, & layer matter
- As performant for existing use cases
- Scalable Visual continuum from mobile to movie quality
- Visually consistent between rasterization, ray tracing & path tracing

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This is where Substrate comes in light.

Substrate is composition framework, made of building bricks that an artist can assemble to create complex appearance.

Yet, this framework needs to remain as performant as the old system, and also remain visually consistent between our different rendering paths (raster/raytracing effect/pathtracing)

Furthermore, this framework needs to be scalable. Having complex materials is one goal, but we don't want to reauthor variants of the same material for high-end hardware up to for lower-end hardware.

Introduction / Motivations Use existing framework? Autodesk Standard Surface • Adobe Surface MaterialX Renderman Lama Nvidia MDL 🛤 💿 R 🔁 🔼 Either Fixed material topology which is too restrictive • Or **Soup of BSDFs** which make difficult to have • Scalability / material simplification • Efficient evaluation Compress/Share parameters Advances in Real-Time Rendering in Games course, SIGGRAPH 2023

Many material standards & specifications exist in the industry. MaterialX seems to the one getting the most tracking across the industry.

However all these specifications have some limitations which didn't fit our requirements.

Either they rely on fixed topology (Autodesk Std Surface, Adobe Surface, OpenPBR) which prevent to build more advanced surfaces.

Or they rely on a soup of BSDFs, which makes efficient implementation trickier (lot of switches, and loops), and harder to scale material complexity down with simplification rules.



This is why we started developing Substrate. As said, Substrate is a framework. It is build around three concepts:

- Slab which is how we represent the matter
- Operators which manipulate the pieces of matter
- Tree which represents the topology of the material, i.e., how the different pieces of matter are arranged respectively to each other.



To illustrate this, let's take an example.

Here is a material made of two types of matter.

A base layer made of metallic & anisotropic fiber. This is represented by a **Slab**.

Another layer made of a dielectric and having some absorption. This is represented by another **Slab**.

And the two Slabs are layered on top of each other with a vertical layering operator

Agenda

- Slab
- Operators
- Tree
- Scalability
- Storage & Evaluation

This will be our agenda for today.

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Describing the different parts of the framework: Slab / Operators / and Tree.

And then we will dive into details around scalability, storage, and evaluation.

Slab Defining matter

Let's start with the Slab



The slab is the building block of the framework for representing a piece of matter. It is our mean to unify most of the existing shading models.

You can really thing about as a piece of matter, made of an interface and a medium with a certain thickness.

All the properties are based on physical properties, which helps to describe the matter without too much convoluted reparametrization. This allows to keep things consistent, in particular by using proper units. It helps to resonate/think about what we manipulate and how light would interact when dealing with these properties. A counter example would be gltf, or other specification where diffuse transmission/ color absorption, ... are just different features you manipulation, but does not makes sense as a whole.



The interface is as simple as you can think.

It defines the separation between two media, and modelled as a microfacet field. Nothing fancy, the industry's standard are good & simple!

This interface is parameterized with different properties, and we will dive into a few of them.



For describing the reflectivity, we use a F0 parameterization (i.e. reflectivity of the surface a normal incidence) which is convenient since it is normalized unlike (complex) IOR.

For describing more advanced conductor we used a F90 parameterization, which only affect the chromaticity, not the intensity, do not reduce the energy at grazing handle. This parameterization is the most unphysical one we have, and we might revisit this later in favor Adobe's F82. This features is opt-in meaning you will pay for it only if you used it.



For the microfacet description, we use a simple GGX NDF. Again the industry standards are good, so no need to reinvent the wheel.

By default our primary specular roughness is coupled with our diffuse roughness.

For the anisotropy, we use Kulla & Conty parameterization, as it is simple & behave well.

We adapt our tangent basis representation based on usage. If a surface is isotropic we will store a simple normal, but if the surface is anisotropic we will store a full tangent basis with two octahedral encoding (quantized onto 32bits).

Note: as we will see later, a material can be made of several Slabs, which might share the same tangent a basis and stored only once to save memory. More on this in the Storage section.



Maybe a bit more esoteric, we have a secondary GGX lobe, which is mixed with the primary lobe with a free weight.

The primary intent was to represent surface with longer 'specular tail'. A GGX NDF have a certain tail, but for certain manufactured materials, the specular-lobe's tail might be longer. By mixing two specular lobes, we can recreate this hazy appearance, where we can see at the same time sharp reflections and a hazy veil.

We also exposed a helper node for mixing the two lobe in a perceptual manner as showed by Barla et al.

Slabs



A slab can represent asperity on the interface. This asperity layer scatters like in an horizontal manner, unlike specular lobes.

These asperity are always on top of the specular lobes, and have a complete parameterization (roughness, albedo, coverage).



Defining matter: Slab Interface

Glints Opt-in

"Real-Time Geometric Glint Anti-Aliasing with Normal Map Filtering"

- by Xavier Chermain, Simon Lucas, Basile Sauvage, Jean-Michel Dischler and Carsten Dachsbacher. i3D 2021.
 - Controlled by glint density over UV parameterisation
 Scale and filter naturally to far-field / single-lobe NDF
 - Scale and litter naturally to fail-field
 Delige on procomputed LUT
 - Relies on precomputed LUT



11/2/1

Far-Field

•

Substrate Slab BSDF - Sin

• F0

O F90

O Roughness

Anisotropy
 Normal

O Tangent

As mentioned, we use a microfacet field to model our interface. These microfacets have a certain size and so when we zoom-in on a surface, we should expect to see them. This is where 'glints' comes into play.

You can opt-in to glint and control their size through a density control, parameterized over the surface UV. For achieving this in real-time, we use Chermain et al. approach which uses a precomputed LUTs for defining how the glint behave at different LODs.

For a given 'glint' density, when you zoom-out from the surface, the pixel footprint slowly encompass more & more glints. At a certain distance, it will converge to a single lobe GGX lobe.

This is mainly used for car paints, snow, or any glittering effects.



In addition to the reflectivity parameters presented earlier, a Slab can have a 'SpecularLUT' asset plugged-in. This LUT defines a uniform 'tinting' for the slab, parameterized based on view and light angles.

The LUT can be controlled with some ramps or with a texture, parametrized again by view and light angles.

It is useful for advanced cases like opalescence, or complex car paint having view/light correlation, which are not simulated by slab models.

Slabs



When the interface's roughness is non-null, the light will be scattered. This creates a rough transmission appearance. In this case, the rough appearance is caused by the interface, not the medium scattering (i.e. subsurface transmission)

To simulate this we retrieve the IOR from the interface reflectivity. (A user can be a different methods as well)

For our raster path, we use a precomputed LUT parametrized by roughness and depth/thickness to compute 'light spread'. Then a post-process pass compute the blurring accordingly.

For our RT/PT path, we can simply rely on ray intersection to compute this.



One aspect we haven't touched yet is how to manage energy between different parts of the slab.

When an incident light ray hits the interface, it will hit a given microfacet. At that point, it might be reflected, transmitted, or hit another microfacet as it get reflected

In the latter case, we need to simulate these multiple 'bounces' to not lose any energy. This is very visible at high roughness value, in particular for conductor. To simulation this energy conservation, we rely on Turquin's approach.

The second important aspect is to ensure we are transmitting the correct amount energy to the medium. I.e. all light which is not reflected & not absorbed by the interface, need to be transmitted to the medium. For this we rely on precomputed table of directional albedo, as described again in Turquin's approach.



This concludes the interface part. Now let's dive into the medium part of a slab.

Our medium is based on a volumetric formulation. The intent was to have a unique parameterization which can represent the continuum between diffuse to fully transparent surface and abstract any artistic parametrization.

As such, a medium is described in terms of:

- Mean free path, i.e. the free-travelling distance of a photon before hitting a medium's particle
- Albedo, i.e. overall medium reflectivity after multiple scattering
- Phase function anisotropy, which describes the scattering directionally when hitting a medium's particle.
- Thickness, i.e. the depth of the medium

Mean Free Path, or MFP for short, and Albedo are just the dual of scattering & absorption coefficients.



With such a parameterization we can cover the whole spectrum of appearance. From fully diffuse to full transparent.

In simple terms, you can think of it as:

- Diffuse albedo controls the 'milkyness' of the appearance
- The mean free path controls how much you can see through the surface



From an implementation point of view, you can divide this space into 4 parts:

- The **opaque diffuse** part, where we can't see through the surface, and no light bleed beyond the pixel footprint
- The **optical thick** part where, you can't see through the surface, but some light bleed beyond the pixel footprint
- The **optical thin** part where you can see through the surface, but there are still some scattering happening
- The **translucent** part, which is the special case of optical thin part, without any scattering.

Let's dive into how we support/implement each of these parts.



For the diffuse part, we use a retroreflective model, based on Chan.

This approximates a diffuse microfacet model, who the roughness is coupled with the primary specular.

The roughness controls the amount of retro reflection, i.e. the light bouncing back at grazing angle. This is particularly visible at high roughness.

As per our diagram earlier, this 'regime' is used when the pixel footprint is large compared to the MFP.

Slabs Defining matter: Slab Medium "Opaque Diffuse' "Optically thin' "Translucent Substrate Slab BSDF - Simple 🗸 SSS Diffuse / Wrap "Optically thick" O Diffuse Albedo • "Real-time subsurface scattering with single pass • F0 variance-guided adaptive importance sampling O F90 from Xie et al 2020 O Roughness Raster uses screen-space diffusion algorithm O Anisotropy RT/PT uses random walk algorithm • O Normal Large Applied only on the bottom layer Med./Large O Tangent **Pixel Footprint** Use wrap lighting cheap/legacy purpose (opt-in) or lower platform MFP O SSS MFP Use for small MFP or large pixel Footprint O SSS MFP Scale O SSS Phase Anis O Emissive Color Mean Free Path O Second Roughness O Second Roughness Weight O Fuzz Roughness O Fuzz Amount O Fuzz Color O Glint Value O Glint UVs 28 dvances in Real-Time Rendering in Games course, SIGGRAPH 2023

When this 'regime' is used when the pixel footprint is smaller compared to the MFP, we enter into the Optically thick regime

For this we use regular SSS techniques.

- For our raster path we use a post process method based Xie et al.
- For our RT/PT paths, we use random walk algo.

Note that, in our implementation, if a material is made of several slabs, this is applied only on the most bottom visible slabs.

For the raster path, we can fallback on other method like wrap lighting for performance reason.



The optically thin case is rather uncommon in real-time rendering as it is rather hard to handle. It combines at the same time some translucency (you can see through) and some large-scale scattering (haze look).

In our case, we focused on the special case of **an optically-thin slab** stacked/layered on top of another slab.

In such a regime, there are two parts: the **light scattering back**, and the **light transmitted** through the surface.

- For the transmission part we use a simple Beer Lambert model for the absorption.
- For the scattering, we rely on precomputed LUT, parameterized by single scattering albedo (estimated from the Diffuse Albedo), rescaled MFP (rescaled for 1m thick slab), and view & lights angles. This LUT was computed offline by computing the luminance scattered back by a piece of medium under various MFP/albedo/view/light conditions.

This approaches only solves the first moment, i.e. the amount of energy, but does not handle the 'spreading' which cause the hazy look of such a surface. We leave that for future work.



Finally the special case of optically-thin surface, where we can 'see through' but doesn't have any scattering.

We simply handle this with a beer-lambert approach.

For our raster path, we use dual source blending to properly handle the transmission part. Again nothing fancy, just industry standard.

The important part here is that we decoupled the notion of transmission from the notion of coverage. This split the convoluted 'opacity' term into two explicit notions. More on that later.



As we have seen, the **scale** at which a surface is looked at, dictates what regime should be used. A surface with a given MFP value looked from far away will be rendered as diffuse, while same surface looked at closely, will be rendered as optically-thick with some subsurface scattering.

I really want to emphasize that **units** are important. Having pixel footprint and MFPs expressed proper world units help to know how to render a surface.

 Sector layer Thick surface: geometry is non flat. The thickness is defined by the geometry For Raster thickness = hardcoded to 0.1cm For Ray/Path Tracing thickness = ray distance Thin surface: geometry is flat. Thickness is defined by the graph Set on the root node for the entire graph Other layer Defined by Vertical operators (more details later) Uses the Translucent medium model Substant Vertical User Vertical	Slabs Defining matter: Slab Medium		Thick object
 Thin surface: geometry is flat. Thickness is defined by the graph Set on the root node for the entire graph Other layer Defined by Vertical operators (more details later) Uses the Translucent medium model Substrate Vertical Layer 1 Layer 1 Layer 2 Layer 2 Bottom Surface Thickness Front Material Front Material Front Material Surface Thickness Jage Surface Thickness Jage Surface Thickness Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage Jage	Thickness ● Bottom layer ○ Thick surface: geometry is non flat. The thickness is ■ For Raster → thickness = hardco ■ For Ray/Path Tracing → thickness = ray dis	s defined by the geometry ded to 0.1cm tance	Thin object
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Finally, the thickness of the medium need to be taken into account. It defines the distance travel by the light path when crossing a medium, which impact 'the light attenuation'.

When there is a single layer or the most bottom one (if there are multiple layers): we need to consider two cases

- If the surface is considered 'thick', the thickness is defined by the geometry's boundary. For the raster path, we can use a constant value, a SDF or a shadow map to estimate the thickness. For the RT/PT, this is given by the distance to the ray's intersection.
- If the surface is thin, i.e. the geometry is a flat surface (e.g. foliage, cloth, ...), the thickness needs to be defined in some way. In such a case we define the thickness at the material level, with a Surface Thickness input on the root node

For other layer, we also define the thickness through the graph. This is only necessary when a slab is 'coating'/'layered on top of' another slab. In such case, the thickness of the top slab is provided by the Vertical operator.

Operators Manipulating matter

This concludes the Slab section. Let's now dive quickly onto the operators to manipulate the matter.



We have mainly 3 operators.

- Horizontal mixing think of it as a lerping/blending between two materials
- Vertical layering think of it as a coating/layering between two material
- Coverage weighting, that you can think of it as alpha blending, to make a material more 'transparent'



But first, let's define properly our terminology to ensure we are talking about that same thing

Let say we have a rough metallic sphere at the base. When the light hits the surface, it reflects directly from this rough metal.

Now, let say we coat it with a greenish dielectric material.

On the left most part, the thickness of the material is so thick, that when the light hit the surface, it only reflects from the dielectric layer. All the transmitted light is absorbed by the dielectric layer. In such a case, **the transmittance of the dielectric layer is 0**

If we reduce the thickness of that dielectric material the transmitted light will be absorbed but only partially. And so the the light will be able to reach the rough metal beneath. In such a case, the **transmittance of the dielectric layer start to be >0**

If we reduce the thickness even more, then less light will be absorbed, and so **the transmittance will increase even more.**

In the first three cases, the dielectric layer is always there, i.e. if the light travel from the camera to the surface, it will hit 100% the dielectric layer. **The coverage of the dielectric layer is 1**

On the other hand, on the last case, the dielectric layer was never there. The
coverage of the dielectric layer is 0

By extrapolating, having a **coverage of 0.5** means that you have 50% of change of hitting 'the dielectric layer and then rough metal surface', and 50% of change for hitting only the rough metal surface.

So coverage defines the probability of presence of a slab, while the transmittance defines 1-attenuation of a slab

Combined, the **coverage** and the **transmittance** of a slab defined its **throughput**

Now let's dive into the operators



The horizontal mix operator blends materials.

Let's say we have a gold material, and we 'horizontally mix' it with a SSS material: it means that we will evaluate both materials, and mix their evaluation. The mix factor defines the coverage of the slabs. The first slab will have a coverage of 'mix', while the second slab will have a coverage of '1-mix'



The vertical layering operator, allows to stack a slab on top of another one.

Let's say we have a aniso fiber material. We want to coat it with a dielectric material. By doing so the **transmittance** of the dielectric material will affect the appearance of the anisotropic material, given it a reddish tint. Layered operations can be combined. For instance we stack another dust layer on top of it, which again will affect transmittance and throughput of the bottom layers.



Lastly, the coverage weight operator.

We start first with a dielectric with some absorption. The light traveling through the surface get partially absorbed, giving this yellowish **transmittance**.



By weighting such a surface with a coverage operator we can affect the coverage of the slab, i.e. the amount of 'presence' for a given pixel.

- If the coverage is 1, we completely see the surface
- If the coverage is 0, we don't see the surface
- If the coverage is in-between we see a portion of that surface.

Operators

Manipulating matter - Coverage weight



It is important to have this clear distinction between **varying thickness** and **varying coverage**.

Let's say again, we have a metal surface coated with an orange dielectric.

With a **vertical layering operator**, when we vary the thickness of the top layer, we affect both the transmittance & the throughput of the top slab, but we can alway see its specular reflection

While a **coverage weight operator**, when we vary the coverage of the top layer, the top slab transmittance remains the same, only its throughput vary (accordingly to the coverage). But when the coverage reaches 0, we can no longer see the specular reflection.

Substrate Tree Organizing matter

So as you can see, one can create material using slab of matter assembled using operators. But how do we organise such data in order to be able to evaluate the lighting at the end?



First let's say you have want to render such a yellow piece of rough metal covered with a clear coat feature blue specular.

In term of Substrate, this would be represente by a slab B for the rough metal, with a slab A covering it, achieved using a vertical layering operator.

But we cannot evaluate those two slabs directly and add the two lighting contributions. That would be wrong because we need first to evaluate the effect that slab A has on slab B when it comes to throughput accounting for the medium transmittance or fresnel effect for instance.

In order to account for such effect, **we represent the material topology using a tree**, operators being nodes and slabs being leaves.

We are going to process/walk/evaluate this tree in order to be able to output closures. Closures represent a bag of parameters that can be sent to the renderer for lighting evaluation, accounting for all the different operators effects on the visual result. In this case, we can see that Slab A is at the top of the material topology, so the lighting can be evaluated as is. While we see that slab B is at the bottom of the hierarchy, so we need to account for the light path through SlabA, and for instance its effect on throughput and perceived roughness, in order to be able to evaluate Slab B.

Once evaluated, a Slab outputs a closure. Each of those closures can be evaluated in any order or in parallel. Each Closure evaluation output a luminance color, all of them can be summed up together in order to form the final image.



Let's have a look at a more complex exemple.

Here we have carbon fiber, horizontally mixed with a rough metal. You can see a transition region between both slabs. That is why those two slabs are linked with each other using an horizontal mixing operator.

And on top of that, you can see a colored clear coat that is layered on top of both the other slab using a vertical layering operator.



From this tree we are going to evaluate many closure quantities so that we can evaluate the effect that each operators and slabs have on each other. For instance

- The **ToViewThroughput**, being affected by horizontal mixing weight, top layers throughput, or coverage weight.
- The **TopTransmittance** representing the transmittance of all the surfaces above a given slab, that we can reuse when evaluating the lighting, remapping it to the actual light direction using a simple formula.
- We also output **roughness and thickness** data used for rough refraction effect (detailed later on)



So how do we process and walk the tree? To remains simple on those slides, we are only going to look at how we update the Coverage and Transmittance of a slab that will be used by the closures for lighting evaluation.

Firstly, we evaluate the coverage and transmittance from each slab. You can see that both slab at the bottom are opaque so coverage is 1 and transmittance is 0. The colored coat slab has coverage of 1 and a transmittance mapping resulting from the mean free path as seen when viewing the material in isolation along the normal of the surface.



Secondly, we are going to process each operator, deeper first, in order to gather information from slabs.

So that horizontal operator here gathers a representative Coverage/Transmittance from its children. And two opaque slabs result in an opaque surface in this case.



Then, the vertical operator is **the tree root: it gathers a representative Coverage/Transmittance from its children**.

Layering a clear coat on top of an opaque material still results in an opaque surface in this case.

That Coverage/Transmittance value on the root node of the Substrate tree is important: it is a representation of the material total coverage and total transmittance that can be used to compute the throughput, itself used when alpha blend the surface with the scene color, if a translucent rendering mode is selected by the user.

Also, please note that we also store a separate representation of the isolated top layer Coverage/Transmittance that will be used later to compute some values.



Thirdly, we have each slab walk the tree up to the root node in order to compute other values such as ToViewThrouput or TopTransmittance as explained a few slides back.

We see that the colored coat walk through the Top side of the vertical operator: its **ToViewThrouput** and **TopTransmittance** are thus unchanged.



The carbon fiber slab has first to walk up through the horizontal mixing operator. As such, its **ToViewThrouput** will be affected by the mixing weight according to the side it comes from.



Then the carbon fiber slab walks up through the vertical layering node. It comes from the bottom side of the node, so it have its **ToViewThrouput** or **TopTransmittance** affected by **TopCoverage** and **TopTransmittance** of the vertical node.



And this continues one and on for each slab.



We also compute more data while processing the substrate tree such as :

- **Energy preservative** transfert between layered slab
- We account for the perceptual effect a rough top layer can have on a bottom layer perceive roughness using roughness tracking. For exemple: a rough top layer will make the bottom layer slab appear rougher because the ray of incoming light will refract more at the top layer interface.
- We also account for the refraction of view path as a function of for the top • layer roughness. The bottom layer is blurred according to the top layer roughness and thickness. The Point Spread Function of a specular lobe is approximated using a gaussian blur using an hardcoded air to water refraction. To achieve that, we basically separate the bottom layer and top layer into different buffers. We blur the bottom layer according to the refracted specular lobe. Then we recompose both buffers together.

Tree



Some more details: when we compile a material topology, we do it for the worst case topology. This is needed because we need to flatten the tree parsing code. Compilers could not handle dynamic tree processing using for loop unfortunately (weird behaviors and crashes at compilation time would happen).

We also gather all the **tangent bases** and if some slabs use the same, we only store a single copy to limit allocated memory in the GBuffer.

As you can see in blue, we also track each **enabled features** for each slab in order to know more about the worst case complexity and byte per pixel requirement of the material once stored in the GBuffer. This is so that we can simplify it according to project setting driving the maximum GBuffer bytes per pixel as I will explain later.



So we have seen that we can output closures from the processing of the Substrate tree made of operators and slabs.

But **how do we get that closure count under control** according to the project quality settings?



First, we have to **fight against closure combinatorial explosion**.

We illustrate this in this example where slab 3 in blue is coverage with a complex topology of slabs in two layers, each with different coverage.

If we want to represent that material topology faithfully to its definition, we would have to to account the different path light can take within this material.

For instance, light can traverse S0, then S2 then reach S3. Or it can traverse S0, then air then reach S3. And so on, leading to 6 different paths resulting in different throughput and perceived roughness for slab 3.

This should effectively results in 6 different closures that would need to be evaluated (different ToViewThroughput, TopTransmittance and specular Roughness). That is too expensive.

To simplify this case, we have come up with a way to statistically represent the combination of any slabs according to the operators linking them. In this case, slab 3 would then be layered with a single virtual slab aggregating the properties of all the other slabs. That would then result in a single closure.



In this statistical representation we came up with, a slab, or an aggregate of **multiple slab, is represented by a fixed set of attributes**: transmittance, coverage, roughness and a refraction lobe.

It assumes there is no correlation between the coverage of the matter of each Slab.

For instance, what is the effect of a coverage operator on a slab? It will basically reduce the coverage of a slab, without affecting it matter transmittance, roughness and refraction lobe.

We also have more complex solution for horizontal mixing and vertical layering operators. Please refer to the bonus slides for more details.

Scalability				
Scaling Visual	Complexity and	d Performance	e	
 Complexity control PC, PS5, XB, P Need to reach 6 	r <mark>ol per platform</mark> S4, XB1, Switch, Mobile 60hz - 120hz			
Per platform con	trols			
Constraints to respect	Shading quality	Features / Effects	Must fit in memory	
Forward	✓	✓	x	
Deferred	✓	✓	✓	
Advances in Real-Time Rendering in Games cours	ie, SIGGRAPH 2023		Even in forward, you might want to reduce the closure count .	57

Now that we have the closure count under control, we still need to scale according to a target platform and quality settings.

For instance, we can adapt

- The shading quality,
- The **enabled features** (roughness tracking or rough refractions)
- And, in the deferred shading case, we also have to be able to **fit the material in the GBuffer**. That is represented by an set of byte per pixel constraint. Even when forward shading is used, it could be that a project running on mobile would not want to evaluate more than a single closure per pixel.

Scalability

Tree Scalability

• How to render the following complex material with less closures?



So for this example of complex material with horizontally mixed and vertically layered slabs: how can we simplify the tree to reduce the amount of closure we output?

You have to remember that a slab is a principled representation of matter. So we understand its components and can think about ways to combine multiple slabs. Thus our solution to the simplification problem: progressive tree simplification using parameter blend of slabs descriptions based on some empirical rules. If that is not enough, we can even simplify by disabling special features.

It is important to note that this would not be possible to do with a soup of BSDF as proposed by MDL or MaterialX. Because a Specular BSDF and a DiffuseBSDF cannot be combined for instance.



Here is a simple exemple:

- Here is a Slab A with some diffuse, F0, F90 and normal.
- And another Slab B with different diffuse, F0, F90 and normal.
- It is relatively straightforward to think that a way to parameter blend horizontal mixing is a simple lerp of the parameters.



This is the default material with all the lobes, **3 closures stored as 72 bytes**.



We can simplify this material by using parameter blending for the horizontal mix operator first (because we start by margin the subtree that are the deepest in the tree).

In this case, we end up with 2 slabs, resulting in 2 closures stored as 48 bytes.



Finally, we can simplify this material by using parameter blending for the vertical layering operator (because we start by margin the subtree that are the deepest in the tree).

In this case, we end up with 1 slabs, resulting in 1 closures stored as 12 bytes.



So now that we can output closures that respect the constraints for targets platforms. **Let's see how we can use then in order to light a scene**.

Storage & Evaluation



So the philosophy here is that you should only pay memory and lighting cost for what you effectively use.

We can adapt per pixel the storage and its memory footprint so the cost will adapt to what is on screen. And remains cheap if most of the materials are simple. All that while allowing more advance hero materials in some scenes.

As you may know already, unreal engine has different lighting path:

- **Deferred**, where the closures as stored in the gbuffer first and then later read by the lighting passes
- **Forward**, where lighting is evaluated in line during the base pass
- Lumen ray tracing, where material is stored inside a ray tracing payload
- And similarly **path tracing**.



Let's have a look at what happens during the life of a material:

- 1. The **material is compiled**. If the compiler identifies that some slabs will always have a weight of 0, those will be culled away by the static compilation analysis after code has been flatten.
- 2. When the base pass material shader is executed
 - a. Closures are evaluated and written into the gbuffer.
 - b. If a closures has a weight of 0, it is skipped and removed from the list written to the gbuffer.
- 3. Later, closures are read by the lighting passes for evaluation



Let's have a look in a bit more details on how the gbuffer is used:

- 1. The material **closures are stored in the gbuffer with aggressive packing** to use the least amount of byte as possible.
- 2. The gbuffer first bits are read in order to read the complexity of each pixel and the screen is categorised as tiles according to the required shading complexity (simple slab, slab + features, multiple slabs, etc.).
- 3. Each of our lighting passes are tiled so that the shader code can be optimised in order to read and process the closures for lighting in an efficient way. This basically reduces register pressure, increase occupancy and reduce render cost on GPU.

Storage & Evaluation - Culling

Dynamic tree culling

Use dynamic inputs to prune tree based on Coverage & Transmittance



A small illustration of the dynamic culling of closures happening in real time (as discussed on the previous slide).

Here you can see 2 slabs been horizontally mixed together. On the tile classification debug view on the right, you can see that we only pay the cost of complex tiles where the material actually output two closures per pixel. The closure having a mixing weight of 0 is simply evicted from the evaluation passes.

Storage & Evaluation - Material Storage

Adaptive material storage based on topology & enabled features

You pay for what you use ⇒ simple case needs to be fast & lightweight

Material Header					(Optional) Payload data targeting certain render passes	
	losure Header	Closure Data	Tangent basis	TopLayer Data	SSS Data	
Encoding Type						
Pa Sin Sir	Packing adapted to complexity per pixel Simple → Single closure, Diffuse / Specular / Roughness					
Sin Coi Spi	gle → Sir mplex → Mu ecial → Ex	→ Single closure, any reactives → Multiple closures & features → Expensive use cases (Glint,SpecLUT)				

So what do we store per pixel in the GBuffer / material buffer?

A **header**, representing the complexity of the material for categorisation and containing closures count, tangent bases count, etc.

Then we have the **list of closures** with associated data for each closures according to the enabled features, *e.g.* SSS or Fuzz.

After that we have the **list of tangent bases** used by all the closures. Note that if two closures share the same basis, a single basis will be written out.

Last but not the least, we also store **other data** such as TopLayer data (*e.g.* normal, roughness) and SSS data in order to be able to communicate with out post processes such as SSR, SSAO, DFAO or SSS without having them reading all the material data.

That list of data is adapted according to complexity and we have different packing for different cases.



For instance, this is a **SIMPLE material**: only relying on diffuse, specular and roughness. Only **12 bytes of data**



This is a **SINGLE material**: a slab with any features. In this case, haziness is used. Number of bytes per pixel used goes **up to 20 bytes per pixel**.


This is a **COMPLEX material**, feature many slabs.

You may recognise the Opal material feature in our latest GDC demo: Electric Dream. It consists of two raymarched height field slabs with colored specular layered with a clear coat.

This is stored using a general representation of a material as closures. **It uses 76 bytes of data per pixel**.



This is a **SPECIAL material**, featuring advanced visual effects such as Glint or SpecularLUT.

This can be used for instance to accurately render realistic car paint with mesoscopic faceted glint effect or the advanced pearlescent look of triple layer paints.

Storage & Evaluation - Storage

Quantize closures parameters with dithering

- F0 \rightarrow RGB 20bit (7/7/6bits)
- DiffuseAlbedo \rightarrow sRGB 20bit (7/7/6bits)
- FuzzAmount \rightarrow 6bits
- ...

•

• Packed data into a stream of UINTs

Outputs closures to a Texture Array

- Layers 0-2 → **RT**s
- Layers 3+ → UAVs
- Lower platforms leverage simplification and avoid UAV write

Preferred layer allocation strategy

- · Grow w.r.t. the most demanding visible materials (up to the project maximum size)
- Do not shrink (avoid reallocation hitches & memory fragmentation)

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For each closure, their parameters are aggressively packed using quantization. And we use dithering in order to avoid seeing any banding artefact. All the closures in the end form a stream of UINT that is written into our GBuffer.

Our **GBuffer is a 2D texture array** of UINT (we like to, we like to call it material buffer).

During the base pass, the **first 3 layers are mapped to Render Target Output**, because it is faster on some hardware to leverage the caching mechanism of the merger output.

The **remaining layers are mapped onto a single UAV** and all the UINT overflowing the RT count simply are written through it.

On low end platforms, our goal is to avoid more expensive UAV writes by packing all our legacy material to 3 UINT maximum.

When it comes to the allocation strategy of that buffer: we **allow it to grow** its slice count according to what material is present on screen. But we **never shrink** it back in order to avoid memory re-allocation hitches and fragmentation.

Storage & Evaluation - Lighting evaluation



Once the closures are packed and stored in the GBuffer, we can read them back for lighting evaluation.

We simply **loop over all closures**, we load them. For each of this closure we can **evaluate the lighting according to the enable features**.

And as mentioned before, the tile complexity classification helps optimise the GPU cost of each of those passes.

Storage & Evaluation - Ray / Path Tracing

Hardware Ray Tracing

• Performance ⇒ Payload size < 64 bytes

Ray Tracing with Lumen

- Material lighting accuracy not critical for GI
 - Use Tree full simplification
 - single closure fit in 64 bytes

Path Tracer

- On a hit Stochastically select a Closure
 - Based on Directional Albedo
 - Avoid storing entire topology and Closures
- A Tradeoff
 - Variance versus performance
 - Typically fine: lots of samples + denoising

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When it comes to hardware ray tracing, as you may know, we need to send the material data from the hit shaders to the RGS (Ray Generation Shader). **This is done through the ray tracing payload structure. And this structure needs to remain small in order to be efficient**. We restrict ourselves to 64 bytes.

However, **we cannot send the entire substrate tree** material for processing into the RGS because it simply would not fit when multiple slabs are present. Similarly, multiple closures would not fit in many cases.

For **Lumen**, were all the lobes and reflections details are less important for global illumination, we **enforce a full simplification of the substrate tree** to a single slab which will output a single closure. A single closure can thus fit in the payload and then be evaluated by lumen in the RGS.

However, for the **path tracer**, we are interested in all the reflection details and interactions. In this case, we simply **stochastically select a closure for the list resulting from the substrate tree processing in the hit shader based on its directional albedo**. That closure pdf is then reweighted accordingly to all the closure directional albedo. Then the path tracer can continue its job of selecting and sample a lobe of that closure.

That is a choice, trading off increased variance for better performance. With a little bit more samples and our denoiser, we are able to reach noise free result in the use cases we have tested. As you can see on those images.



Here, we give deferred shading performance for the GBuffer lay down and light passes on PS5 @1080p for a full screen material and lighting.

You can see that

- For the **simple case**, we are on par,
- For the **single case** we do have a small overhead related to the extra buffers we have to write in order to communicate with our post process passes through the TopLayer and SSS data buffer. This is something we want to improve going forward.
- For the **complex case**, not supported by the legacy GBuffer, the cost will increase linearly with the number of closure as expected.

Stora	ge & Evaluat	ion -	Memo	ory @	1080p	
Legacy	GBufferA (normal/obj data) GBufferB (Met/Spec/Rough/S GBufferC (BaseColor/Occlus GBufferD (CustomData) TOTAL	ShadId) sion)	8.0 8.0 8.0 8.0 32.0	MB MB MB MB	// all GBuffer textures are RGBA8	
Substrate	Substrate.Material Substrate.TopLayerTexture TOTAL	50.0 8.0 58.0	MB MB MB	<pre>// 4 UINT for SINGLE Closure + 2 SSS data => 6 UINT slices // Roughness / Normal // (Legacy + 24MB)</pre>		
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When it comes to memory allocations, you can see here the legacy gbuffer memory usage.

When substrate is enabled, we do allocate more memory. This overhead is related to the extra buffers we have to write in order to communicate with our post process passes through the TopLayer and SSS data buffer. This is something we want to improve going forward.

Storage & Evaluation - Memory @1080p

Remember: not all bytes are written!

SIMPLE	Legacy Disney Diff/Spec/Rough	12 bytes	<pre>// 2 slices + TopLayerData</pre>
SINGLE	Legacy shading models	16 bytes	// 3 slices + TopLayerData
SINGLE	previous + 2ndRoughness + F90	20 bytes	// 4 slices + TopLayerData
SINGLE	previous + Cloth	24 bytes	// 5 slices + TopLayerData
COMPLEX	previous + Anisotropic Spec	28 bytes	// 6 slices + TopLayerData
COMPLEX	previous + 1 Coating Slab	40 bytes	// 9 slices + TopLayerData
COMPLEX SPECIAL	previous + SpecLUT	44 bytes	//10 slices + TopLayerData
COMPLEX SPECIAL	previous + Glints	60 bytes	//14 slices + TopLayerData

More

- Optimised 12 bytes path for legacy shading models
- Only write though 3 RTs (avoid slower UAVs write on older platforms)

⇒ And we are not done yet! (optimisation/performance work is in progress...) 78 dvances in Real-Time Rendering in Games course, SIGGRAPH 2023

However, it is very important to remember that even if we allocate more **memory, we do not write it all**. We adapt the memory footprint with the complexity of the material per pixel.

We have also some special optimised paths for some of the legacy shading models we want to precisely maintain the cost going forward.

And we are not done yet, optimisation for memory and performance are going to be worked on from now on.



Conclusion

Modern material framework built around Slabs, Operators, and Tree

- Scale from simple to hero materials
- Built-in material simplification system for scalability
- Extensibility for advanced use cases (Glint, SpecularLUT, ...)
- Unleash artists creativity: from Fixed Shading Models to Composition framework (Be aware of the complexity and cost!)

Support

- Support most of UE systems (Raster / Lumen / RT / Path-tracer)
- Support most platforms (Curr-Gen Console, Prev-Gen Console, Switch, mobiles ...)
- Fast path for games

Timeline

- Shipped on *Electric Dreams* Demo
- Focus on production-readiness Performance and memory

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To conclude, we have presented **Unreal Engine Substrate**: what we believe is a **modern material framework that will scale the performance and cost of material from hero to simple one**. Together with a scalability system to adapt to many platform Unreal has to support.

This is our solution to a scalable GBuffer, something we believe is crucial to be able to move forward in the future and be able to scale the visual complexity of material in the future.

We are able to also include advanced use cases such as glints or specular lut, without impacting the cost of simpler materials.

This system **unleash artist creativity**, freeing them from the fixed shading model list we had before. **Opening the door to a composable material framework**.

Substrate is **supported in most of our systems and platforms**, with scalability controls. Maintain critical performance for platform that requires it, scaling quality with refresh rates or quality as priority.

When it comes to the timeline, we have shipped the electric dreams demo during GDC 2023 with Substrate enabled across the board.

Next, we are going to focus on production readiness of Substrate, with more performance and memory optimisations.

Current limitations

Requirements

- Depth prepass + EarlyDepthTest is mandatory
 a large material outputting to UAV
- Separated Decal pass (DBuffer) is mandatory
 Gbuffer is not blendable anymore

Shader compilation challenges

- Shader size due to many path
- Shader compilation time (have to unroll Tree parsing)

Others

- Requires extra buffers: Normal/roughness for SSR, SSAO, DFAO
- Lots of UX experimentation needed: steep learning curve for some artists!

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There are **some limitations** you have to be aware of.

First, we **require a depth prepass**. This is due to the possibility of some of the material writing material and closures data through UAV.

Secondly, we **require a separated decal accumulation pass**, often called DBuffer. This is needed because the GBuffer is not blendable anymore. So decals needs to be composited after, during the base pass over the closures that are generated.

There are also some **challenges related to shader compilation**: more compilation and packing path that can be encountered during the base pass. So shaders are bigger and also takes more time to compile. Nothing comes for free but this is a situation we want to improve upon.

We would also like to mitigate the extra cost & overhead related to the extra buffers we have to write in order to communicate with our post process passes through the TopLayer and SSS data buffer.

Last but not least, we would like to **experiment with more user experience improvements** because the slab parameterisation in itself has a steep learning curve as compared to the previous parameterisation we had. This is likely going to come with a way to abstract that complexity with simpler parameterisation, for instance mapping to the shading model we have before, that artists are used to.

Future

Better energy transfer in between layers

Taking into account relative IOR & bounces

Spectral Integration

Accurate color rendition, metamerism, natural reflectance, fluorescence, dispersion...

Optically thin volumetric materials

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Phase function Single & Multiple scattering Transition from optically thick (SSS) to thin/translucent Self Shadow Colored shadow and Heterogeneous internal representation Support deformable/animated meshes Consider inner parts (bones) Opalescence



Substrate open the box to many more improvements down the line:

- We would like to have a solution for the multiple refraction and reflection bounces that can happen in between multi layered materials
- We would like to **experiment with spectral rendering** in order to simulate metamerism of fluorescence for instance
- Last but not least, we would like to **extend this framework to support material with volumetric content**, rendering colored multiple scattering effects, self shading, internal details such as bones and organs, etc.



Thanks you for reading our presentation notes! Do not hesitate to contact us for any interesting discussions.

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Bibliography for all the papers and book that have been extensively used and implemented along the development of Substrate for Unreal Engine.



Here are some bonus slides coverage in more details some of the parts that were left off during the presentation for the sake of time.

Slab participating media

Mentioned on slide 29.

When a slab optically thin (relatively large mean free path), we have to be able to represent its medium as a participating medium with absorption and scattering.

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Slabs

Defining matter: Slab Medium

Custom LUT-Based scattering

Material inputs

- DiffuseAlbedo is post multiple scattering assuming infinitely thick slab
- MFP (expressed for a thickness of 1 meter)

Shading

- Output Transmittance (analytical)
 - Output Scattered luminance as a function of Single Scattering Albedo, MFP, N.L and N.V
 - Recover Single Scattering Albedo from BaseColor "Revisiting Physically Based Shading at Imageworks" From Kulla & Conty 2017
 - Use Precomputed 4D LUTs storing luminance transfert for a unit light

 $\label{eq:Volumetric} \textit{effect} \textit{approx}, \textit{as a BSDF} \rightarrow \textit{Missing} \textit{large scattering} \textit{causing} \textit{hazy look}(\textit{for future work})$



In the future we want to use an analytical solution instead of the 4D LUT that is expensive to sample for each RGB component.

Merging Slabs

Mentioned on slide 57.

How do we affect/merge slabs according to operators?

Remember: this is used to represent a single slab to affect slabs below after a layering operation

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Tools

Some details about tools and visualization we have.

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Tooling & helper in editor

Help casual users - Advanced concept are not easy to grasp (MFP / F90 / Thickness / ...)

- Simple abstracted nodes (Disney, Cloth, Clear Coat, ...
- Helper nodes (transmittance to MFP,
- Substrate tree compilation details

Substrate Building Blocks

Substrate Coated Layer Substrate Standard Surface Opaque Substrate Standard Surface Translucent Substrate UE4 Default Shading Substrate UE4 Unlit Shading

v Substrate Helpers

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Substrate FlipFlop Substrate Haziness-To-Secondary-Roughn Substrate IOR-To-F0 Substrate Metalness-To-DiffuseColorF0 Substrate Rotation-To-Tangent Substrate Thin-Film Substrate Transmittance-To-MeanFreePath Substrate View-Dependent-Coverage

laterial simplification preview			
Full simplification	Override bytes per p	pixel 80	Apply to preview
Material topology preview			
BSDF (SSS MFP) BSDF (Ani)			
Material advanced details			
Material per pixel byte	count= 48 / budget =	80	
BSDF Count	= 2		
Local bases Count	= 2 COND1 FM		
Material complexity	= COMPLEX		
Noot Node Is Thin	= 0		
waterial Type	- wultiple slabs		
	======Detailed Outp	ut	
<i>c</i> , <i>u</i> , 10			
- SharedLocalBasesCount			
StrataCompilationInfo			
- Bute Per Pixel Budget		80	
- Requested Byte Size b	efore simplification	48 (12 UINT32)	
- Requested Byte Size a	fter simplification	48 (12 UINT32)	
- Material complexity		COMPLEX	
- TotalBSDFCount			
- SLAB - SharedLoca	1BasisIndexMacro = SH	AREDLOCALBASIS_INDEX_0_0	
CI 10 CI	10	AREDLOCAL RASTS THDEY 1 0	

Tooling & helper in editor

- Help users with per node visualization of ٠

 - . Topology Slab position within topology

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Complexity



Tooling & helper in editor

• Visualization of material topology to understand material complexity

- View modes (Slab count, memory, properties, classifications ...)
- Detail panels (features, simplification, ...)

Per pixel closure count inspection



Substrate tree advanced visualization

